



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,120	08/14/2001	Ken Hanscom	

CONFIRMATION NO. 9955

FORMALITIES LETTER



OC000000006792851

David B. Harrison
Chief Patent Counsel (Acting)
Quantum Corporation
501 Sycamore Drive
Milpitas, CA 95035

Date Mailed: 09/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$456.
 - \$216 for 12 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1296.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
Q00-1027-US1

In Re Application Of: KEN HANSCOM

Serial No.	Filing Date	Examiner	Group Art Unit
09/930,120	8/14/01	NOT ASSIGNED	2651

Invention: A TAPE GUIDE FOR REDUCING LATERAL TAPE MOVEMENT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
9/26/01
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

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DAC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JAN 13 2004

OFFICE OF PETITIONS

In re Application of: Ken Hanscom)
Serial No.: 09/930,120 ✓)
Filed: August 14, 2001) Art Unit
For: A TAPE GUIDE FOR REDUCING)
LATERAL TAPE MOVEMENT)
Examiner: Unknown)
Attorney Docket: Q00-1027-US1 / 11198.70)

Mail Stop Petitions
Attn: Cheryl Baylor
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Ms. Baylor:

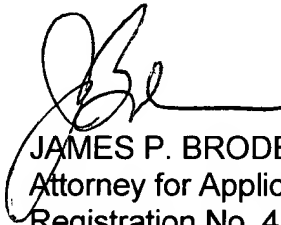
Per your request, transmitted herewith is a copy of the Petition previously filed on July 17, 2003 Pursuant to 37 CFR § 1.181 for Entry of Previously Submitted Response and Revival of Abandoned Application. The following is also provided:

- X Copy of Petition Submitted on July 17, 2003, pursuant to 37 CFR § 1.181 for Entry of Previously Submitted Response and Revival of Abandoned Application; Copy of the Notice of Abandonment Under 37 CFR 1.53(f) or (g); Copy of the Declaration of Charlotte Bowers including Exhibit "A", which includes a copy of the previously submitted Response to Notice of Missing Parts of Application which includes a Transmittal, Notice to File the Missing Parts, Oath or Declaration, Assignment, and the return receipt postcard; Copy of the timely filed, previously submitted Response to Notice of Missing Parts of Application to be entered;
- X Copy of negotiated Check No. 7951 in the amount of \$1338.00 to cover the original filing fee;
- X Copy of previously submitted Return Receipt Postcard dated July 17, 2003;

- X Return Receipt Postcard;
- X The commissioner is hereby authorized to charge payment of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1141; and
- X Any additional extension of time required for the timely submission of this paper, the fees for which have not been previously paid, is hereby petitioned for and requested.

DATED this the 6th day of January, 2004.

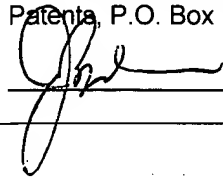
Respectfully submitted,


JAMES P. BRODER
Attorney for Applicant
Registration No. 43,514

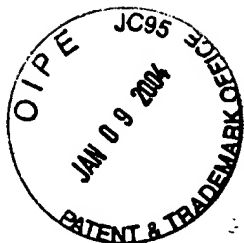
THE LAW OFFICE OF STEVEN G. ROEDER
5560 Chelsea Avenue
La Jolla, California 92037
Telephone: (858) 456-1951

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this the 6th day of January, 2004.



JAMES P. BRODER, Attorney for Applicant--Registration No. 43,514



Received in the US Patent and Trademark Office
In Re of: Ken Hanscom
Filed: August 14, 2001
Entitled: A TAPE GUIDE FOR REDUCING LATERAL TAPE
MOVEMENT



Enclosed are:

- ☒ Transmittal
- ☒ Petition for Entry of Previously Submitted Response to Revive ~~ADDITIONAL~~
- ☒ *Copy of the Response to Notice of Missing Parts*
- ☒ Declaration of Charlotte Bowers *including Exhibit "A"*
- ☒ Check 7951 in the amount of \$1338.00
- ☒ Return Receipt Postcard
- ☒ *Copy of Notice of Abandonment*

Q00-1027-US1 / 11198.70

July 17, 2003

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ORIGINAL DOCUMENT PRINTED ON CHEMICAL REACTIVE PAPER WITH MICROPRINTED BORDER - SEE REVERSE SIDE FOR COMPLETE SECURITY FEATURES

7951

THE LAW OFFICE OF STEVEN G. ROEDER

5560 CHELSEA AVENUE
LA JOLLA, CA 92037
(858) 456-1951

UNION BANK OF CALIFORNIA
3261 Sports Arena Blvd. San Diego, CA 92110
16-49/1220

7/10/2003

PAY TO THE ORDER OF Commissioner of Patents and Trademarks

One Thousand Three Hundred Thirty-Eight and 00/100*****

\$ **1,338.00

DOLLARS

MEMO Serial no 09/930,120

THIS DOCUMENT CONTAINS HEAT SENSITIVE INK. TOUCH OR PRESS HERE - RED IMAGE DISAPPEARS WITH HEAT.

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13-10-0001
07-23-2003
FOR CREDIT TO THE
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JAN 24 03

056001118
FIRST VIRGINIA BANK
07242003 FALLS CHURCH, VA

MPSC 07/28/03

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0528903906
07252003
0520-0027-8 FRB BALTIMO
ENT=4211 TRC=3547 PK=10

07282003
LATRO CITY
1228-0016-6

2100266761

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
Q00-1027-US1

In Re Application Of: KEN HANSCOM

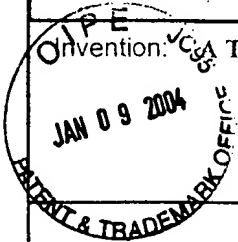
Serial No.
09/930,120

Filing Date
8/14/01

Examiner
NOT ASSIGNED

Group Art Unit
2651

Invention: **TAPE GUIDE FOR REDUCING LATERAL TAPE MOVEMENT**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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Date

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- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

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EXHIBIT "A"

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
Q00-1027-US1

In Re Application Of: KEN HANSCOM

Serial No.
09/930,120

Filing Date
8/14/01

Examiner
NOT ASSIGNED

Group Art Unit
2651

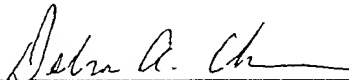
Invention: A TAPE GUIDE FOR REDUCING LATERAL TAPE MOVEMENT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,338.00 is to be paid as follows:

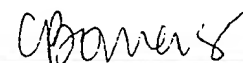
- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0283
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0283.
A duplicate copy of this sheet is enclosed.


Signature

Dated: NOVEMBER 20, 2001

DEBRA A. CHUN
REG. NO. 38,700
QUANTUM CORPORATION
501 SYCAMORE DRIVE
MILPITAS, CA 95035
USA

I certify that this document and fee is being deposited
on 11/20/01 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

CHARLOTTE BOWERS

Typed or Printed Name of Person Mailing Correspondence

cc: